



AF/374  
#

Atty. Dkt. No. 040679-1154

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicant: Shinji YAMAMOTO et al.

Title: EXHAUST GAS PURIFYING SYSTEM

**RECEIVED**

Appl. No.: 09/692,470

JUN 03 2004

Filing Date: 10/20/2000

TECHNOLOGY CENTER R3700

Examiner: T. Nguyen

Art Unit: 3748

**INFORMATION DISCLOSURE STATEMENT**  
**UNDER 37 CFR §1.56**

Mail Stop Amendment  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

Submitted herewith on Form PTO/SB/08 is a listing of documents known to Applicants in order to comply with Applicants' duty of disclosure pursuant to 37 CFR §1.56. A copy of each listed document is being submitted to comply with the provisions of 37 CFR §1.97 and §1.98.

The submission of any document herewith, which is not a statutory bar, is not intended as an admission that such documents constitute prior art against the claims of the present application or that such documents are considered material to patentability as defined in 37 CFR §1.56(b). Applicants do not waive any rights to take any action which would be appropriate to antedate or otherwise remove as a competent reference any document which is determined to be a *prima facie* art reference against the claims of the present application.

05/28/2004 WABRHAM1 00000016 09692470

01 FC:1806

180.00 DP



### **TIMING OF THE DISCLOSURE**

The listed documents are being submitted in compliance with 37 CFR §1.97(d), before payment of the issue fee.

### **RELEVANCE OF EACH DOCUMENT**

Documents A1-A7 were cited in a corresponding Japanese patent application Office Action. Documents A8-A9 were cited in a corresponding European patent application Office Action. English translations of the foreign-language documents are not readily available. However, the absence of such translation does not relieve the PTO from its duty to consider the submitted foreign language documents (37 CFR §1.98 and MPEP §609). English language abstracts are attached to documents A1-A7 and A9 for the Examiners convenience.

Applicants respectfully request that any listed document be considered by the Examiner and be made of record in the present application and that an initialed copy of Form PTO/SB/08 be returned in accordance with MPEP §609.

### **STATEMENT**

The undersigned hereby states in accordance with 37 CFR §1.97(e)(1) that each item of information contained in this information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three (3) months prior to filing of this Statement.

### **FEE**

A fee in connection with submission of an information disclosure statement under 37 CFR §1.97(d) in the amount of \$180.00 in accordance with 37 CFR §1.17(p) is attached.

The Commissioner is hereby authorized to charge any additional fees which may be required regarding this application under 37 CFR §§ 1.16-1.17, or credit any overpayment, to



Atty. Dkt. No. 040679-1154

Deposit Account No. 19-0741. Should no proper payment be enclosed herewith, as by a check being in the wrong amount, unsigned, post-dated, otherwise improper or informal or even entirely missing, the Commissioner is authorized to charge the unpaid amount to Deposit Account No. 19-0741.

Respectfully submitted,

Date May 27, 2004

FOLEY & LARDNER LLP  
Washington Harbour  
3000 K Street, N.W., Suite 500  
Washington, D.C. 20007-5143  
Telephone: (202) 672-5414  
Facsimile: (202) 672-5399

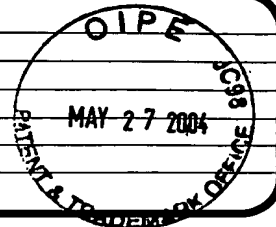
By Thomas G. Bilodeau

Richard L. Schwaab  
Attorney for Applicant  
Registration No. 25,479

Thomas G. Bilodeau  
Attorney for Applicant  
Registration No. 43,438

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Substitute for form 1449B/PTO <b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>  <i>(use as many sheets as necessary)</i>			<b>Complete if Known</b>	
			Application Number	09/692,470
			Filing Date	10/20/2000
			First Named Inventor	Shinji YAMAMOTO
			Group Art Unit	3748
			Examiner Name	T. Nguyen
Sheet	1	of	1	Attorney Docket Number
				040679-1154



U.S. PATENT DOCUMENTS						
Examiner Initials*	Cite No. <sup>1</sup>	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number	Kind Code <sup>2</sup> (if known)			

FOREIGN PATENT DOCUMENTS								
Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document			Name of Patentee or Applicant of Cited Documents	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sup>6</sup>
		Office <sup>3</sup>	Number <sup>4</sup>	Kind Code <sup>5</sup> (if known)				
	A1	JP	04284847		NE Chemcat Corp	10-09-1992		
	A2	JP	05106430		Toyota Central Res & Dev Lab Inc Toyota Motor Co	04-27-1993		
	A4	JP	05272328		Aisin Seiki Co Ltd Toyota Central Res & Dev Lab Inc	10-19-1993		
	A4	JP	05277373		Nippon Shokubai Co Ltd	10-26-1993		
	A5	JP	05280325		Toyota Central Res & Dev Lab Inc	10-26-1993		
	A6	JP	09141098		Nissan Motor Co Ltd	06-03-1997		
	A7	JP	11501378		University of Texas A & M	10-06-1995		
	A8	EP	0537968		Toyota Jidosha Kabushiki Kaisha	04-21-1993		
	A9	JP	2001-179100		Toyota Chuo Kenkyusho KK	07-03-2001		

NON PATENT LITERATURE DOCUMENTS					
Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.) date, page(s), volume-issue number(s), publisher, city and/or country where published.			T <sup>6</sup>
		RECEIVED			
		JUN 03 2004			
		TECHNOLOGY CENTER R3700			

RECEIVED

JUN 03 2004

TECHNOLOGY CENTER R3700

Examiner Signature	Date Considered
--------------------	-----------------

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup> Unique citation designation number. <sup>2</sup> See attached Kinds of U.S. Patent Documents. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document.

<sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

Burden Hour Statement: This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.